

Table 4.2. Establish Positions and Strategies to Guide Interactions<sup>2</sup>

Community Coalition Action Theory	Principles of Community Engagement	Structural Capacity Needed
<p><b>Propositions:</b></p> <ol style="list-style-type: none"> <li>4. Coalitions form in response to an opportunity, threat, or mandate.</li> <li>7. Coalition formation usually begins by recruiting a core group of people committed to resolving the issue.</li> <li>9. Open, frequent communication creates a positive climate for collaborative synergy.</li> <li>10. Shared and formalized decision-making helps make collaborative synergy more likely through member engagement and pooling of resources.</li> <li>12. Strong leadership improves coalition functioning and makes collaborative synergy more likely.</li> <li>13. Paid staff with interpersonal and organizational skills can facilitate the collaborative process.</li> <li>14. Formalized rules, roles, structures, and procedures make collaborative synergy more likely.</li> <li>16. Synergistic pooling of resources promotes effective assessment, planning, and implementation.</li> <li>17. Comprehensive assessment and planning aid successful implementation of effective strategies.</li> <li>18. Coalitions that direct interventions at multiple levels are more likely to create change in community policies, practices, and environments.</li> </ol>	<p><b>Principles:</b></p> <ol style="list-style-type: none"> <li>1. Be clear about the population/communities to be engaged and the goals of the effort.</li> <li>4. Remember that community self-determination is the responsibility and right of all people who comprise a community.</li> <li>6. Recognize and respect the various cultures of a community and other factors that indicate its diversity in all aspects of designing and implementing community engagement approaches.</li> <li>8. Be prepared to release control to the community, and be flexible enough to meet the changing needs of the community.</li> <li>9. Community collaboration requires long-term commitment.</li> </ol>	<p><b>People Skilled in:</b></p> <ul style="list-style-type: none"> <li>• Information and policy analysis, strategic planning and strategy development, and initiative planning and implementation.</li> <li>• Collaborative methods to work with diverse populations and build community capacity to analyze and apply information in decision making.</li> <li>• Affiliation and network linkage development, organizational formation, collaborative leadership, facilitation, and participatory governance.</li> <li>• Resource identification and leveraged resource management.</li> <li>• Communications development and delivery.</li> </ul> <p><b>Information/Data on:</b></p> <ul style="list-style-type: none"> <li>• Populations potentially affected by positions under consideration and influencing factors of socioeconomic, cultural, and other situational/contextual data.</li> <li>• Population response anticipated based on beliefs, attitudes, past behaviors, and readiness to act and participate.</li> <li>• Opportunities to engage opinion leaders in position and strategy determination.</li> <li>• Symbols, physical location, institutions, and events likely to improve engagement.</li> </ul> <p><b>Organizational Structures to:</b></p> <ul style="list-style-type: none"> <li>• Establish information systems to obtain formative information on issues for which community engagement is needed.</li> <li>• Analyze the range of solutions or actions, unintended consequences, and the opportunities to successfully address the issue(s) where community engagement is intended.</li> <li>• Project resource needs and potential ways to attract, leverage, and manage resources.</li> <li>• Determine organizational position and strategies to initiate community dialogue on perceived issues.</li> <li>• Present positions and negotiate consensus on community actions or what outcomes to achieve.</li> <li>• Recognize and reward personnel that effectively perform community engagement and strategy development duties.</li> </ul> <p><b>Fiscal and Physical Support for:</b></p> <ul style="list-style-type: none"> <li>• Personnel budget for strategic and program planning.</li> <li>• Personnel budget for facilitating development of community capacity to act.</li> <li>• Budget for strategic and program planning.</li> <li>• Office space for staff engaged in strategic and program planning.</li> <li>• Communication and computer hardware and other office equipment to support position and strategy development activities.</li> </ul>

Reprinted with permission of John Wiley & Sons, Inc.

References: Butterfoss, 2007; Butterfoss et al., 2009.

<sup>2</sup>CCAT propositions and the principles of community engagement are numbered in accordance with their order in their original context, not according to their position in this table.